

DEPT.  
DIVISION  
Room 307  
PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

2004 AUG 23 P. 1:12

In re application of

Docket No: Q64426

Shinichi YOSHINARI, et al.

Appln. No.: 09/859,461

Group Art Unit: 1774

Confirmation No.: 3963

Examiner: Bruce H. Hess

Filed: May 18, 2001

For: THERMAL TRANSFER SHEET AND THERMAL TRANSFER RECORDING  
METHOD

REQUEST FOR REFUND

MAIL STOP 16

Director of the U.S. Patent and Trademark Office

P.O. Box 1450

Alexandria, VA 22313-1450

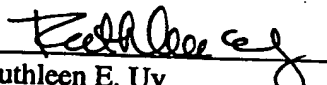
Sir:

Applicant hereby respectfully requests a refund in the amount of \$180.00. This refund is to be credited to Deposit Account No. 19-4880.

On October 28, 2003, Deposit Account No. 19-4880 was charged \$180.00. This charge seems to be related to the fee associated with the IDS filed on September 15, 2003, in the above-referenced application, which did not require the fee since it was filed with a Statement Under 37 C.F.R. § 1.97(e). Therefore, Applicant respectfully requests a refund of the filing fee in the amount of \$180.00.

A duplicate copy of this paper is attached.

Respectfully submitted,

  
Ruthleen E. Uy  
Registration No. 51,361

SUGHRUE MION, PLLC  
Telephone: (202) 293-7060  
Facsimile: (202) 293-7860

WASHINGTON OFFICE

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CUSTOMER NUMBER

Date: August 17, 2004



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INFORMATION DISCLOSURE STATEMENT  
UNDER 37 C.F.R. §§ 1.97 and 1.98

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure under 37 C.F.R. § 1.56, Applicant hereby notifies the U.S. Patent and Trademark Office of the documents which are listed on the attached PTO/SB/08 A & B (modified) form and/or listed herein and which the Examiner may deem material to patentability of the claims of the above-identified application.

One copy of each of the listed documents is submitted herewith, along with a copy of the corresponding Communication from a Foreign Patent Office.

The present Information Disclosure Statement is being filed after the later of three months from the application's filing date and the mailing date of the first Office Action on the merits, but before a Final Office Action, Notice of Allowance, or an action that otherwise closes

prosecution in the application (whichever is earlier), and therefore Applicant is filing

0/28/2003 KHASHING 00000010 194880 09859461

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Adjustment date: 09/23/2004 SDIRETA1  
10/28/2003 KHASHING 00000010 194880 09859461  
01 FC:1806 180.00 CR



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STATEMENT UNDER 37 C.F.R. § 1.97(e)

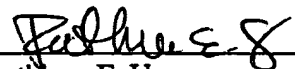
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned hereby states, upon information and belief:

That each item of information contained in the Information Disclosure Statement filed concurrently herewith was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of said Information Disclosure Statement.

Respectfully submitted,

  
Ruthleen E. Uy  
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23373

CUSTOMER NUMBER

Date: September 15, 2003  
(Saturday being September 13, 2003)